

Let  $M$  be a Riemann surface and identify  $\mathbb{R}^3$  with the imaginary quaternions  $\text{im } \mathbb{H}$ . Then an immersion  $M \xrightarrow{f} \mathbb{R}^3$  with unit normal  $N$  is conformal if and only if it satisfies the “moving Cauchy-Riemann equations”

$$*df = N \cdot df$$

so that  $f_y = N \cdot f_x$ . Given such an  $f$ , the tangential part of the Laplacian vanishes:

$$(*d * df, df) = (*dN \wedge df, df) = 0$$

since  $dN$  is tangential and the quaternion product of two vectors is perpendicular to their span. In fact, we can be more precise: if  $\lambda = |f_x|^2 = |f_y|^2$  is the scaling factor of  $f$ ,

$$d * df = (f_{xx} + f_{yy})^\perp dx \wedge dy = -2\lambda H dx \wedge dy = -H df \wedge df$$

where  $H$  is the mean curvature of  $f$ . In particular,  $f(M) \subset \mathbb{R}^3$  is a minimal surface if and only if  $f$  is harmonic,  $d * df = 0$ .

From a slightly different perspective, we have that  $f$  is minimal iff

$$0 = (\Delta f, N) = -\text{tr}(df, dN) = -f_x N_x - f_y N_y = -f_x(N_x - NN_y)$$

This shows that  $f(M)$  is a minimal surface exactly when the map  $N : M \rightarrow S^2$  is an antiholomorphic map.

*Harmonic maps to  $S^2$ :* Let  $(\cdot, \cdot)$  denote the inner product on the round  $S^2$ , and define the elastic energy functional

$$E(\varphi) = \frac{1}{2} \int_M (d\varphi \wedge *d\varphi)$$

on maps  $\varphi : M \rightarrow S^2$ . We investigate stationary points by introducing an infinitesimal variation  $\varepsilon\xi$  in the tangential direction:

$$\delta_\varepsilon E(\varphi) = \left( \frac{\varepsilon}{2} \int_M (d\xi \wedge *d\varphi) + (d\varphi \wedge *d\xi) \right) + o(\varepsilon^2) = -\varepsilon \int_M (\xi, d * d\varphi) + o(\varepsilon^2)$$

so  $\varphi$  is stationary (“*harmonic*”) if and only if  $d * d\varphi$  is totally normal – that is, a multiple of  $\varphi$ . This multiple is actually determined, since  $|d\varphi|^2 = (d\varphi \wedge *d\varphi) = d(\varphi, *d\varphi) - (\varphi, d * d\varphi) = -(\varphi, d * d\varphi)$ , so  $\varphi : M \rightarrow S^2$  is harmonic if and only if it satisfies the equation

$$\Delta\varphi + |d\varphi|^2\varphi = 0$$

Now suppose we have an arbitrary map  $J : M \rightarrow S^2$ , and take  $S^2$  to be the unit imaginary quaternions. We may then define the operators  $\partial_J, \bar{\partial}_J$  on maps from  $M$  to  $\mathbb{H}$  by

$$\partial_J = \frac{1}{2}(d - J * d)$$

$$\bar{\partial}_J = \frac{1}{2}(d + J * d)$$

splitting  $d$  into  $J$ -commuting and  $J$ -anticommuting parts. If  $f : M \rightarrow \mathbb{E}^3 = \text{im } \mathbb{H}$  then since  $J^2 = -1$ , the equation  $\bar{\partial}_J f = 0$  simply becomes the moving Cauchy-Riemann equations for  $f$ . Solutions correspond to branched conformally immersed surfaces in  $\mathbb{E}^3$  with normal map given by  $J$ .

Now suppose  $J$  is also harmonic, and define the  $\text{im } \mathbb{H}$ -valued 1-form  $\omega = \partial_J J$ . Then  $\omega$  is closed:

$$\begin{aligned} d\omega = d \partial_J J &= \frac{1}{2} (d^2 J - dJ \wedge *dJ - J \cdot d * dJ) \\ &= \frac{1}{2} (|dJ|^2 + J \cdot |dJ|^2 J) \, dx \wedge dy \\ &= \frac{1}{2} (|dJ|^2 - |dJ|^2) \, dx \wedge dy = 0 \end{aligned}$$

and furthermore,  $*\omega = *\partial_J J = J\partial_J J = J\omega$ , so  $\omega$  has an antiderivative  $f$  which is a map from  $\tilde{M}$  to  $\mathbb{E}^3$  and for which  $J$  is the normal map.

Next, consider the mean curvature equation for such a conformal map to  $\mathbb{E}^3$ :  $d * df = H \, df \wedge df$ . If  $f$  came from a harmonic  $J$  then

$$d * df = d(Jdf) = df \wedge df + \bar{\partial}_J J \wedge df$$

where we have used the decomposition  $d = \partial_J + \bar{\partial}_J$ . The second term in this sum is zero in order to make the two sides of the equation have matching type<sup>1</sup>, which immediately implies that the mean curvature is constant. In general this will give surfaces of constant mean curvature 1, but if  $d * df = 0$  then the surfaces are actually minimal. This condition, that  $\partial_J J \wedge \bar{\partial}_J J = 0$ , is simply the statement that  $J : M \rightarrow S^2$  is holomorphic (or antiholomorphic).

Altogether, the above arguments show that: every surface of constant mean curvature in  $\mathbb{E}^3$  can be obtained from a harmonic map  $J : M \rightarrow S^2$ , and such a surface is minimal if and only if  $J$  is conformal.

*Duality between CMC surfaces in  $\mathbb{E}^3$  and minimal surfaces in  $S^3$ :* As before, let  $f : M \rightarrow \mathbb{E}^3 = \text{im } \mathbb{H}$  be a surface of constant mean curvature (either 1 or 0), so  $f$  satisfies the equation  $d * df = df \wedge df$ . Conversely, any CMC surface on  $\tilde{M}$  comes from integrating an  $\text{im } \mathbb{H}$ -valued 1-form  $\omega$  satisfying the (linear) integrability equation

$$d\omega = 0$$

and the (nonlinear) CMC equation

$$d * \omega = \omega \wedge \omega$$

Now set  $\eta = *\omega$ . The CMC equation becomes  $d\eta - *\eta \wedge *\eta = 0$ , but  $*\alpha \wedge *\beta = \alpha \wedge \beta$ , so this becomes the nonlinear integrability equation

$$d\eta - \eta \wedge \eta = 0$$

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<sup>1</sup>In fact, the equation  $\eta \wedge df = 0$  holds for  $\text{im } \mathbb{H}$ -valued 1-forms if and only if  $*\eta = -J\eta$ . Since this is automatically true when  $\eta = \partial_J g$ ,  $\bar{\partial}_J J \wedge \partial_J J = 0$ .

This is the right-invariant Maurer-Cartan equation for a map from  $M$  to  $SU(2) \cong S^3$ . Such an  $\eta$  can therefore be integrated to a function  $F : \tilde{M} \rightarrow S^3 \subset \mathbb{H}$ , unique up to constant right multiples by elements of  $S^3$ .

$S^3$  carries a natural nondegenerate metric via the Killing form  $\kappa$ , so we can again define the elastic energy  $E(\varphi) = \frac{1}{2} \int_M d\varphi \wedge \kappa * d\varphi$  and the stationary points will be given by solutions to the harmonic equation  $d*\varphi = 0$ . Of course, since  $d\omega = 0$  we have  $d*\eta = 0$ , so  $\eta$  satisfies both the nonlinear integrability equation and the linear harmonicity equation for a map into  $S^3$ .

This means that every minimal surface in  $S^3$  gives a CMC surface in  $\mathbb{E}^3$  and vice versa.

*Harmonic Maps into  $SU(2)$ :* Let  $f : M \rightarrow SU(2)$  be a map with Maurer-Cartan derivative  $\omega = f^{-1}df \in \Omega^1(M; \mathfrak{su}(2))$ . Then  $f$  is a conformally parametrized harmonic map if and only if the equation  $d*\omega = 0$  holds. Conversely, a  $\mathfrak{su}(2)$ -valued 1-form  $\omega$  can be locally integrated to a harmonic map exactly when the two equations

$$\begin{aligned} d\omega + \frac{1}{2}[\omega \wedge \omega] &= 0 \\ d*\omega &= 0 \end{aligned}$$

hold, and the integral is unique up to right translation. Now let  $\lambda = \exp\{\theta\}$  and define the loop of 1-forms

$$\omega_\lambda = \left(\frac{1-\lambda}{2}\right)\omega = \left(\frac{1-\cos\theta}{2}\right)\omega - \left(\frac{\sin\theta}{2}\right)*\omega$$

This integrates to a loop of  $SU(2)$ -valued maps exactly when

$$\begin{aligned} 0 &= d\omega_\lambda + \frac{1}{2}[\omega_\lambda \wedge \omega_\lambda] \\ &= \left(\frac{1-\cos\theta}{2}\right)d\omega - \left(\frac{\sin\theta}{2}\right)d*\omega + \left(\left(\frac{1-\cos\theta}{2}\right)^2 + \left(\frac{-\sin\theta}{2}\right)^2\right) \cdot \frac{1}{2}[\omega \wedge \omega] \\ &= \left(\frac{1-\cos\theta}{2}\right) \cdot \left(d\omega + \frac{1}{2}[\omega \wedge \omega]\right) - \left(\frac{\sin\theta}{2}\right) \cdot d*\omega \end{aligned}$$

where we have used  $[*\omega \wedge *\omega] = [\omega \wedge \omega]$  and  $[\omega \wedge *\omega] = 0$ . This demonstrates that  $\omega$  integrates to a harmonic map if and only if the loop of forms  $\omega_\lambda$  is integrable. Thus, the problem of finding a harmonic map is recast as a problem of finding a loop in  $SU(2)$  with a certain dependence on  $\lambda$ . More explicitly, split  $\omega$  by type:  $\omega = \omega' + \omega'' \in \Omega^{(1,0)} \oplus \Omega^{(0,1)}$ . Then  $*\omega = i(\omega' - \omega'')$ , so the dependency on  $\lambda$  is of the form

$$\omega_\lambda = \left(\frac{1-\lambda}{2}\right)(\omega' + \omega'') = \left(\frac{1-\lambda}{2}\right)\omega' + \left(\frac{1-\lambda^{-1}}{2}\right)\omega'' \quad (\text{Uhl})$$

This observation might fairly be called Uhlenbeck's lemma.

To work directly with these loops, we introduce the loop groups  $\Lambda_0 G = \{g_\bullet : S^1 \rightarrow G \mid g_1 = 1\}$  with Lie algebras  $\Lambda_0 \mathfrak{g} = \{g_\bullet : S^1 \rightarrow \mathfrak{g} \mid g_1 = 0\}$ . These are infinite-dimensional Banach Lie groups/algebras.

The key tool will be the Iwasawa decomposition of  $\Lambda_0 \mathrm{SL}(2, \mathbb{C})$ . Let  $\Lambda_0^+ \mathrm{SL}(2, \mathbb{C})$  denote the loops in  $\mathrm{SL}(2, \mathbb{C})$  parameterized by  $\lambda$  which extend analytically to the unit disc. These are a loop group analog of upper triangular matrices. The Iwasawa decomposition is the generalization to loop groups of the Hilbert-Schmidt decomposition:

$$\Lambda_0 \mathrm{SL}(2, \mathbb{C}) = \Lambda_0 \mathrm{SU}(2) \cdot \Lambda_0^+ \mathrm{SL}(2, \mathbb{C})$$

This decomposition is particularly nice in the Lie algebra: Let  $\xi \in \Lambda_0 \mathfrak{sl}(2, \mathbb{C})$  be given, and write the Fourier decomposition as a sum of negative and nonnegative parts:

$$\xi = \sum_{i < 0} \xi_i \lambda^i + \sum_{j \geq 0} \xi_j \lambda^j = \xi_- + \xi_+$$

where the coefficients  $\xi_k$  are in  $\mathfrak{sl}(2, \mathbb{C})$  and subject to the condition  $\sum \xi_k = 0$ . The projection from  $\mathfrak{sl}(2, \mathbb{C})$  to  $\mathfrak{su}(2)$  is given by  $\zeta \mapsto \zeta - \zeta^\dagger$ , so we can take

$$\xi = (\xi_- - \xi_-^\dagger) + (\xi_+ + \xi_-^\dagger) \in \Lambda_0 \mathfrak{su}(2) \oplus \Lambda_0^+ \mathfrak{sl}(2, \mathbb{C})$$

It is nearly immediate from this decomposition that any form  $\omega$  solving (Uhl) will be given as the  $\Lambda_0 \mathfrak{su}(2)$ -part of a form

$$\xi = \sum_{k=-1}^{\infty} \xi_k \lambda^k$$

with  $\xi_{-1}'' = 0$ .  $\xi$  is called the holomorphic potential.

\*\*\*\* (how to get meromorphic poten.? \*\*\*\*) So we can actually obtain all solutions to (Uhl) by starting with a meromorphic potential

$$\xi = \xi_{-1} \lambda^{-1} dz$$

where  $\xi_{-1}$  is a meromorphic  $\mathfrak{sl}(2, \mathbb{C})$ -valued function of  $z$ .

Reversing our steps is straightforward. Given a meromorphic potential  $\xi$ , integrate to a function  $C : \tilde{M} \rightarrow \Lambda_0 \mathrm{SU}(2, \mathbb{C})$  such that  $dC = \xi C$ . Split  $C = A \cdot B$  using the Iwasawa decomposition, so  $A \in \Lambda_0 \mathrm{SL}(2)$  and  $B \in \Lambda_0^+ \mathrm{SU}(2, \mathbb{C})$ . Then  $A_{-1} : \tilde{M} \rightarrow \mathrm{SU}(2)$  is the desired harmonic map.