

MATH 453  
SOLUTIONS TO ASSIGNMENT 9  
NOVEMBER 11, 2004

*Exercise 6 from Section 28, page 181*

$X$  is a metric space, so it is Hausdorff. Thus  $X$  is compact Hausdorff and we need only check that  $f$  is a continuous bijection. Continuity and injectivity is immediate since  $f$  is an isometry.

Suppose that  $f$  is not onto, and let  $a \in X - f(X)$ .  $f(X)$  is compact, being the continuous image of a compact set, so it is closed. Thus  $X - f(X)$  is open and we can find an  $\varepsilon > 0$  such that  $B(a, \varepsilon)$  is disjoint from  $f(X)$ . Set  $x_0 = a$  and for  $n > 0$ ,  $x_n = f(x_{n-1}) = f^n(a)$ . If  $n > m$ , we have  $d(x_n, x_m) = d(f^n(a), f^m(a)) = d(f^{n-m}(a), a) > \varepsilon$  since  $f^{n-m}(a)$  is in  $f(X)$  and  $a$  isn't. Thus  $\{x_n\}$  has no convergent subsequence and this contradicts the compactness of  $X$ . □

*Exercise 7 from Section 28, page 181*

- (a) First note that since  $X$  is compact,  $\{d(x, y) \mid x, y \in X\}$  is a compact subset of  $\mathbb{R}$ . Thus  $\text{diam } X$ , defined to be the supremum of the above set, is finite.

Let  $A_0 = X$ , and  $A_n = f(A_{n-1})$  for  $n > 0$ . It is clear that each  $A_n$  is compact (hence closed) and  $A_{n+1} \subset A_n$ , so the finite intersection property implies that their intersection (call it  $A$ ) is non-empty. Note that  $A$  contains all the fixed points of  $f$ .

Furthermore,  $\text{diam } A \leq \text{diam } A_n \leq \alpha^n \text{diam } X$  for each  $n$ . Hence  $\text{diam } A = 0$  and  $A$  is a singleton, say  $\{a\}$ . Now clearly  $f(A) \subset A$ , so  $f(a) = a$  and we have found the unique fixed point.

- (b) As before, let  $A_0 = X$ ,  $A_n = f(A_{n-1})$  and  $A = \bigcap A_n$ . We claim that  $A = f(A)$ . The inclusion  $f(A) \subset A$  is clear; for the other inclusion, suppose  $x \in A$ . We will find an  $a$  such that  $f(a) = x$ . Since  $x \in A$ , for each  $n$ ,  $x \in A_{n+1}$ , so there is an  $x_n$  such that  $f^{n+1}(x_n) = x$ . Let  $y_n = f^n(x_n)$  and  $a$  be the limit of a convergent subsequence of  $\{y_n\}$  (which exists since  $X$  is compact).

Now  $f(y_n) = f(f^n(x_n)) = f^{n+1}(x_n) = x$  for all  $n$ , so in particular this holds for the above subsequence. Hence  $f(a) = x$ . Furthermore, each closed  $A_n$  contains all but a finite number of the  $y_n$ 's, so contains the limit  $a$  of a subsequence. Thus  $a \in A$ .

This implies that  $A = f(A)$ . However, since  $f$  is a shrinking map and  $A$  is compact,  $\text{diam } A < \text{diam } f(A)$  if  $A$  has more than one point. Thus we conclude that  $A$  has only one point, the required fixed point of  $f$ .

- (c) A direct computation yields

$$|f(x) - f(y)| = |x - y| \left| 1 - \frac{1}{2}(x + y) \right|,$$

and the result is immediate.

(d) In this case we have

$$f(x) - f(y) = \frac{1}{2}(x - y)\left(1 + \frac{x + y}{\sqrt{x^2 + 1} + \sqrt{y^2 + 1}}\right),$$

so

$$|f(x) - f(y)| \leq \frac{1}{2}|x - y|\left(1 + \frac{|x| + |y|}{\sqrt{x^2 + 1} + \sqrt{y^2 + 1}}\right).$$

Hence  $f$  is a shrinking map that is not a contraction.  $f(x) = x$  implies the nonsensical relation  $x = \sqrt{x^2 + 1}$  so it has no fixed point.  $\square$

*Exercise 1 from Section 29, page 186*

We will show that there is no point at which  $\mathbb{Q}$  is locally compact. Suppose not, and assume that  $\mathbb{Q}$  is locally compact at  $q$ . This means that there is a compact set  $C$  containing a neighborhood  $U = (a, b) \cap \mathbb{Q}$  of  $q$ . Let  $s$  be an irrational number in  $(a, b)$  and  $\{x_n\}$  a sequence in  $U$  that converges to  $s$ . Then  $\{x_n\}$  is a sequence in  $C$  that has no convergent subsequence in  $C$ , contradicting the compactness of  $C$ .  $\square$

*Exercise 5 from Section 29, page 186*

Let  $Y_1 = X_1 \cup \{\infty_1\}$  and  $Y_2 = X_2 \cup \{\infty_2\}$  be the one-point compactifications of  $X_1$  and  $X_2$  respectively. We extend  $f$  to  $\tilde{f} : Y_1 \rightarrow Y_2$  in the obvious way:  $\tilde{f}(\infty_1) = \infty_2$  and  $\tilde{f}|_{X_1} = f$ . Since  $Y_1$  is compact and  $Y_2$  is Hausdorff, we need only check that  $\tilde{f}$  is a continuous bijection. The second condition is immediate, so we show that  $\tilde{f}$  is continuous. Suppose  $U$  is open in  $Y_2$ . If  $U$  does not contain  $\infty_2$ , it is open in  $X_2$ . Hence  $\tilde{f}^{-1}(U) = f^{-1}(U)$  is open in  $Y_1$ . If  $U = Y_2 - C$  for a compact subset  $C$  of  $X_2$ ,  $\tilde{f}^{-1}(U) = Y_1 - f^{-1}(C)$ . Since  $f$  is a homeomorphism,  $f^{-1}(C)$  is compact in  $X_1$  and so  $\tilde{f}^{-1}(U)$  is open in  $Y_1$ .  $\square$

*Exercise 10 from Section 29, page 186*

$X$  is locally compact at  $x$ , so there is a compact set  $C$  containing a neighborhood  $A$  of  $x$ . Then  $U \cap A$  is a neighborhood of  $x$  contained in the compact Hausdorff space  $C$ . The subspace  $C - (A \cap U)$  is closed, so it is compact, and thus, by Lemma 26.4, we can find disjoint open sets  $V$  and  $W$  containing  $x$  and  $C - (U \cap A)$ , respectively.  $V$  is the required neighborhood of  $x$ :  $\bar{V}$  is a closed subset of  $C$  so is compact, and  $\bar{V} \subset U$  since  $\bar{V} \subset C - W \subset U \cap A$ .  $\square$