

PROF. ALLEN KNUTSON'S MATH 4370 MIDTERM, SPRING 2009

1. Let  $I = \langle b^2 - cd, bc - d^2 \rangle$  in  $\mathbb{C}[b, c, d]$ .
    - a. Give a term order in which this isn't a Gröbner basis, and extend it to one.
    - b. Give a term order in which this is a Gröbner basis.
    - c. Find the Hilbert series.
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- a. Lex, with initial terms indicated by  $g_1 = \underline{b^2} - cd, g_2 = \underline{bc} - d^2$ .

$$S(g_1, g_2) = c(b^2 - cd) - b(bc - d^2) = \underline{bd^2} - c^2d =: g_3$$

$$S(g_1, g_3) = d^2(b^2 - cd) - b(bd^2 - c^2d) = bc^2d - cd^3 \sim 0$$

$$S(g_2, g_3) = d^2(bc - d^2) - c(bd^2 - c^2d) = \underline{c^3d} - d^4 := g_4$$

$S(g_1, g_4) \sim 0$  because the leading terms are relatively prime

$$S(g_2, g_4) = c^2d(bc - d^2) - b(c^3d - d^4) = bd^4 - c^2d^3 \sim 0$$

$$S(g_3, g_4) = c^3(bd^2 - c^2d) - bd(c^3d - d^4) = bd^5 - c^5d \sim c^2d^4 - c^5d \sim 0$$

So  $\{g_1, g_2, g_3, g_4\}$  are a Gröbner basis.

b. We want initial terms indicated by  $\underline{b^2} - cd, bc - \underline{d^2}$ . One way to do that is to order things by degree (that helps one be sure one's getting a well-order), and then within a given degree order things *in reverse* by degree in  $c$ , and then break ties either in favor of  $b$  or  $d$  (it doesn't matter here).

c. The Hilbert series for  $I$  is the same as for  $\text{init}(I)$ . Obviously it's much easier if we use the second order! Then it's  $\frac{1}{(1-t)^3}(1 - t^2 - t^2 + t^{2+2})$ , which you could also write as  $\frac{1}{(1-t)^3}(1 - t^2)^2$  if you want to emphasize that  $(g_1, g_2)$  is a regular sequence.

(If you really wanted to be goofy you could simplify to  $\frac{(1+t)^2}{1-t}$ . This isn't a particularly good idea.)

2. Find all the monomial ideals in  $\mathbb{C}[x, y]$  whose Hilbert function is  $h(0) = 1, h(1) = 2, h(2) = 2$  and it stays 2 thereafter.

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If we didn't kill anything the Hilbert series would go  $1, 2, 3, 4, \dots$  not  $1, 2, 2, \dots$ . So  $h_I(0) = h_0(0), h_I(1) = h_0(1), h_I(2) = h_0(2) - 1$ , which means we mustn't kill anything in degree 0 or 1 and we must kill something in degree 2. The choices are  $x^2, xy, y^2$ .

In each of those cases, we can draw the picture and see that every diagonal thereafter has two remaining monomials. So we mustn't kill anything else. The three monomial ideals are  $\langle x^2 \rangle, \langle xy \rangle, \langle y^2 \rangle$ .

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3. Find all the homogeneous ideals with that Hilbert function from #2.

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The same analysis says that we have to kill a homogeneous quadratic:  $ax^2 + bxy + cy^2$ , where not all  $a, b, c$  are 0, and rescaling all three gives the same ideal.<sup>1</sup> If we killed anything else (not already in the ideal), then it would make the initial ideal larger, but we already classified those.

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4. True or false: two Gröbner bases for the same ideal must have the same number of elements. If true, prove it. If false, give a counterexample.

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False.  $\langle x \rangle = \langle x, -5x \rangle$  and both are Gröbner bases.

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5. Let  $X \subseteq \mathbb{C}^2$  be the two points  $\{(1, 0), (0, 1)\}$ .

a) Which of the polynomials  $xy, x^2, x + y$  are in  $I_X$ ?

b) Let  $f$  be the polynomial from part (a) that is in  $I_X$ . Find a degree 1 polynomial in  $I_X$ , and call it  $g$ .

b) Use the Nullstellensatz to prove that  $I_X = \langle f, g \rangle$ .

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a)  $xy$  vanishes on those points.

b) The only line going through the points is  $x + y - 1 = 0$ .

c) Let  $I = \langle xy, x + y - 1 \rangle$ . The only solutions to those equations are the two points, so  $V(I) = X$ . The Nullstellensatz says  $I_{V(I)} = \sqrt{I}$ , so together that says  $I_X = \sqrt{I}$ . Hence what we want to prove is  $I = \sqrt{I}$ , then invoke the Nullstellensatz.

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<sup>1</sup>That is to say, there's a projective plane's worth of possibilities.

First let's get a reduced Gröbner basis (with respect to a homogeneous lex order, say):  $\underline{y}^2 - \underline{y}, \underline{x} + \underline{y} - 1$ . If  $p^2 \in I$ , then  $\text{init}(p)^2 \in \text{init}(I) = \langle \underline{y}^2, \underline{x} \rangle$ . We'd like to conclude that  $\text{init}(p) \in \text{init}(I)$ .

The only monomial for which that's not true is  $\text{init}(p) = \underline{y}$ . But then  $p = c\underline{y} + d$ , which defines a horizontal line, and hence can't vanish on both points of  $X$ . Contradiction, hence  $\text{init}(p) \in \text{init}(I)$ .

That means we can pick an  $i \in I$  with  $\text{init}(i) = \text{init}(p)$ , and replace  $p$  by  $p - i$ , which will again have  $(p - i)^2 = p^2 + i(i - 2p) \in I$ , but have smaller leading term. By induction  $p \in I$ . So  $I = \sqrt{I}$ .

6. For any two ideals  $I, J$ , prove  $\sqrt{I} \cap \sqrt{J} = \sqrt{I \cap J}$  (meaning, prove both inclusions).

$$\begin{aligned} r \in \sqrt{I} \cap \sqrt{J} &\iff \exists m \text{ such that } x^m \in I \text{ and } \exists n \text{ such that } x^n \in J \\ &\iff \exists N \text{ such that } x^N \in I, x^N \in J \\ &\iff \exists N \text{ such that } x^N \in I \cap J \\ &\iff x \in \sqrt{I \cap J} \end{aligned}$$

The only interesting step is the second  $\iff$ . To go  $\implies$ , let  $N = \max(m, n)$ . To go  $\impliedby$ , let  $m, n = N$ . It's okay that this can increase the numbers used; we only need to show some power works, not find the smallest one.